

**Notice of References Cited**

Application/Control No.

09/369,517

Applicant(s)/Patent Under  
Reexamination  
TUCK ET AL.

Examiner

Edward R. Cosimano

6/21/01

Art Unit

2161

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*		Document Number	Date	Name	Classification	
		Country Code-Number-Kind Code	MM-YYYY			
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	M	US- -				

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*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Ku: "Deal confirmation: Weak link in the risk management chain: No matter how fast an how many trades you can do in the front office, the excution will not be 100% error-free. It's up to the deal confirmation desk to (continued below)
*	V	catch your mistakes--and they must be caught in time"; Global Energy Business, March/April 2001, vol. 3, no. 2, page 46.
	W	
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.